



Marianne K. Smythe

Prior to joining Wilmer, Cutler, Pickering, Hale and Dorr LLP in May 1993, Marianne K. Smythe served for two and a half years as the Director of the Division of Investment Management of the Securities and Exchange Commission (SEC). This division is responsible for regulating investment companies, investment advisers and public utility holding companies, as well as for regulating certain insurance products. While serving as the Division's Director, she also was in charge of the SEC's Office of Public Utility Regulation. Ms. Smythe previously served as Executive Assistant to SEC Chairman Richard C. Breeden, a position she assumed in April 1990. She served as Associate Director of the Division of Investment Management from 1988 to 1990.

Practice

Ms. Smythe advises and represents banks, investment banking firms, mutual funds and other investment companies, investment advisers, pension fund administrators and insurance companies on investment company, investment adviser, and broker-dealer regulatory issues, related issues affecting banks and pension funds and other matters involving investment and financial products.

Professional Activities

Ms. Smythe was a Professor at the School of Law of the University of North Carolina at Chapel Hill (1981-1987), where she taught Administrative Law, Environmental Law and Contracts. She also served as Assistant Provost of the University for several years. Ms. Smythe has served as a Council Member of the American Bar Association's Section of Administrative Law and Regulatory Practice and is currently a member of the ABA Task Force on Hedge Funds. In addition, she served on the NASD Regulation's Investment Companies Committee and on the NASD's Legal Advisory Board. She is an active member of the Federal Bar Association's Executive Council and Securities Law Committee.